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**TO:** Examiner Zachariah Lucas  
Examining Group 1648 **FROM:** Glenn P. Ladwig  
Patent Attorney  
Registration No. 46,853

**COMPANY:** United States Patent and  
Trademark Office **DATE:** September 10, 2004

**FAX NO.:** 703-872-9306 **NO. OF PAGES**  
**(INCLUDING COVER SHEET):** 8

**SUBJECT/MESSAGE:**

Re: U.S. Patent Application Docket No. USF-T156X  
GENE EXPRESSION VACCINE  
Serial No.: 10/073,0655; Date filed: February 12, 2002  
Applicants: Mohapatra, Kumar, Huang, Leong

**Submission to PTO:**

1. Amendment Transmittal Letter
2. Supplemental Amendment under 37 C.F.R. §1.111

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AMENDMENT TRANSMITTAL LETTER			ATTORNEY'S DOCKET NO. USF-T156X
SERIAL NO. 10/073,065	FILING DATE February 12, 2002	EXAMINER Zachariah Lucas	GROUP ART UNIT 1648
INVENTION Gene Expression Vaccine			

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an amendment in the above-identified application.

- Small entity status of this application under 37 CFR 1.27 has been established by a verified statement previously submitted.
- Applicant claims small entity status.
- A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- No additional fee is required.
- The fee has been calculated as shown below:

(1)		(2)		(3)		SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT			HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
TOTAL	* 21	MINUS	** 20		1	\$ 9	\$ 9.00	\$18	\$0.00
INDEP.	* 4	MINUS	*** 6		0	\$43	\$ 0.00	\$86	\$0.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					0	\$145	\$ 0.00	\$290	\$0.00
						Total addit. fee	\$9.00	<u>OR</u>	

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the Highest No. Previously Paid For IN THIS SPACE is less than 20, enter "20."

\*\*\* If the Highest No. Previously Paid For IN THIS SPACE is less than 3, enter "3."

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Please charge my Deposit Account No. 19-0065 in the amount of \$ 9.00.  
 A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.  
 The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-0065. Two additional copies of this paper are enclosed.

Any additional filing fees required under 37 CFR 1.16.  
 Any patent application processing fees under 37 CFR 1.17

September 10, 2004

Glenn P. Todring  
(signature)

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on September 10, 2004.

Glenn P. Ladwig  
Glenn P. Ladwig, Patent Attorney

SUPPLEMENTAL AMENDMENT UNDER  
37 C.F.R. § 1.111  
Examining Group 1648  
Patent Application  
Docket No. USF-T156X  
Serial No. 10/073,065

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Zachariah Lucas  
Art Unit : 1648  
Applicants : Shyam Mohapatra, Mukesh Kumar, Shau-Ku Huang, Kam W. Leong  
Serial No. : 10/073,065  
Filed : February 12, 2002  
Confirm. No. : 2390  
For : Gene Expression Vaccine

MS AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL AMENDMENT UNDER 37 C.F.R. §1.111

An Amendment under 37 C.F.R. §1.111 was transmitted to the Patent Office on July 6, 2004.  
It is respectfully requested that the subject application be amended as follows:

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